

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Complete if Known		
			Application Number	10/650,011	
			Filing Date	August 26, 2003	
			First Named Inventor	DONNELLY, Frank Wegner	
			Art Unit	3817	
Examiner Name	Robert J. McCarry, Jr.				
Sheet	1	of	1	Attorney Docket Number	5107-4

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.†	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.†	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T*
		Country Code ²	Number ² ; Kind Code ² (if known)				

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
Examiner Initials*	Cite No.†	
RM	1.	M.J. Hapeman et al., "Diesel Electric Locomotive Propulsion Systems - A Look Into the Future," IEEE Technical Papers Presented at the 1985 Joint ASME/IEEE Railroad Conference, New York City, NY (Apr. 16-18, 1985), pp. 108-115.

Examiner Signature	RM	Date Considered	4/05
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*EXAMINER: Initial if reference is considered, whether or not citation is in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1448A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Complete if Known	
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				Filing Date	August 28, 2003
				First Named Inventor	Frank Wegner Donnelly
				Art Unit	3617
				Examiner Name	Robert J. McCarry, Jr.
Sheet	1	of	2	Attorney Docket Number	5107-4

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2,3}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
RM	1.	US-3,728,598	04-1973	Hermansson et al.	
↑	2.	US-3,737,745	06-1973	Chevaugnon et al.	
	3.	US-3,930,189	12-1975	Smith	
	4.	US-3,997,822	12-1976	Logston et al.	
	5.	US-4,152,758	05-1979	Bailey et al.	
	6.	US-5,480,220	01-1996	Kumar	
	7.	US-6,104,148	08-2000	Kumar et al.	
	8.	US-6,367,891	04-2002	Smith et al.	
↓	9.	US-6,634,303	10-2003	Madsen et al.	
RM	10.	US-6,812,656	11-02-2004	Donnelly et al.	

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		Country Code ² , Number ³ , Kind Code ⁴ (if known)					
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OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)			
Examiner Initials*	Cite No. ¹		
RM	11.	Band, C.E. et al., "Development of and Operational Experience with a High Powered D.C. Chopper for 1500 Volt D.C. Railway Equipment," Institution of Electrical Engineers Conference Publication 53 on Power Thyristors and Their Applications, Part 1 (May 1969), pp. 277-288.	
Examiner Signature	RM, Jr.		Date Considered 11/1/05

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Sheet	2	of	2	Attorney Docket Number	5107-4

<i>RJR</i>	12.	Declaration of Ronald Bailey under 37 CFR § 1.98 dated Dec. 3, 2004 for U.S. Application Serial No. 10/650,011, 3 pages.
		<i>RJR</i> 4/05

Examiner Signature		Date Considered	
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